

N°	Source	Information required	Deadline/ Remark	Previous	Status <sup>1</sup>	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
<b>1. Implementation obligations</b>									
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (16.03.2018)	C	C	C	C	Received 15.03.18	Agree
1.2.	Rules Of P.	Compliance Questionnaire.	28.02.2018	C	C	C	C	Received 27.02.18	Agree
1.3.	SC	National Scientific Report.	15.11.2017	C	C	C	C	Received 08.11.17	Agree
1.4.	Commission	Feedback letter.	16.03.2018	C	C	C	C	Received 15.03.18	Agree
<b>2. Management Standards</b>									
2.1.	Res. 15/04	Documents listed in this resolution on board <sup>2</sup>	16.03.2018	C	C	C	C	Source - IOTC-2015-CoC12-IR26: Alinea 4.(i) of High Seas Fishing Operations Regulations No. 1 of 2014	Agree
		Marking of vessels <sup>2</sup>		C	C	C	C	Vessel marking already in place in the national legislation (1980), and according to FAO standard from 2006.	Agree
		Marking of gears <sup>2</sup>		C	C	C	C	Source - IOTC-2015-CoC12-IR26 - Fishing gear marking regulation No.1 of 2015 (Received 26.02.15)	Agree
		Marking of FADs <sup>2</sup>		N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree
		Logbook on board <sup>2</sup>		C	C	C	C	Source - IOTC-2013-CoC10-IR25: Logbook program started in 2012	Agree
		Official authorisation to fish outside National Jurisdictions.	Since 15.02.2014	C	C	C	C	Update received on 14.02.18.	Agree
		IMO number for eligible vessels.	Since 01.01.2016	C	C	C	C	Has reported 1377 vessels not eligible Source - IOTC-2018-CoC15-CQ25 Has 2 vessels with IMO number on the Record of Authorized Vessels.	Agree
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Update received on 02.03.16	Agree
2.3.	Res. 17/07	Ban on large-scale driftnets <sup>2</sup>	16.03.2018	C	C	C	C	Source IOTC-2017-CoC14-IR26 & IOTC-2018-CoC15-CQ25: Alinea 4.(ix) of High Seas Fishing Operations Regulations No. 1 of 2014 amended 2015 (Received 15.03.16).	Agree

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup> Information is to be provided in the Report of Implementation.

<sup>3</sup> 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.4.	Res. 17/08	FADs management plan.	31.12.2013	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree
		Report of progress on implementation of FADs management plan.	16.03.2018	N/A	N/A	N/A	N/A		Agree
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016	N/A	N/A	L	C	Source IOTC-2018-CoC15-CQ25: Has indicated ban under Small Purse Seine (ring net) reg.1987.	Agree
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016	N/A	N/A	N/A	N/A	Has indicated not applicable, source IOTC-2017-CoC14-IR26. No information provided in IOTC-2018-CoC15-CQ25	Agree
2.7.	Res. 17/01	Report on methods for achieving the YFT catch reductions.	16.03.2018	C	C	C	C	Information received 15.03.17 and 16.03.18	Agree
2.8.		Purse seiners served by supply vessel.	01.01.2018			N/A	N/A	Does not have PS/SP on the IOTC Record of Authorised vessels	Agree
2.9.		Plans for reducing the use of supply vessel.	31.12.2017			N/A	N/A	Does not have PS/SP on the IOTC Record of Authorised vessels	Agree
2.10	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	16.03.2018	C	C	C	C	Information received 16.03.18, source source IOTC-2018-CoC15-IR25. Actions are: e-Log book, Port based Sampling, surveys, e-Monitoring & national observer scheme, VMS.	Agree
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels.	15.02	C	C	C	C	Received 14.02.18	Agree
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	C	C	C	C	Submission: 18.03.16 (4 <sup>th</sup> update)	Agree
3.3.	Res. 15/11	Reference Capacity							
		List of vessels <sup>3</sup> for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	All vessels are targeting tropical tunas.	Agree
List of vessels <sup>3</sup> for SWO and ALB during 2007.	N/A	N/A		N/A	N/A	All vessels are targeting tropical tunas.	Agree		
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more.	Since 01.07.2003	C	C	C	C	Has 4 LL vessels > 24m on the Record of Authorised Vessels.	Agree
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state).	Since 01.07.2006	C	C	C	C	Has 1580 vessels < 24m on the Record of Authorised Vessels.	Agree
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Source: IOTC-2018-CoC15-IR25: Foreign vessels are not licensed.	Agree
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		Agree

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				Timeliness	Content	Timeliness	Content		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		Agree
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		Agree
<b>4. Vessel Monitoring System</b>									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted in 2015, Legal reference: Vessel Monitoring System (VMS) for Fishing Boats Operating in High Seas Regulations 2015.	Agree
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	C	Received 28.06.17.	Agree
4.3.		VMS implementation plan	30.04.2016	N/A	N/A	N/A	N/A	VMS adopted in 2015 and coverage > 50% source IOTC-2018-CoC15-CQ25	Agree
<b>5. Mandatory statistical requirement – Flag State CPCs</b>									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch							
		• Coastal fisheries	30.06	C	P/C	C	P/C	Data received 30.06.2017. No data provided for artisanal fisheries	Agree
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30.06.2017	Agree
• Longline fisheries		30.12	C	C	C	C	Data received 30.06.2017	Agree	
Catch & Effort									
5.2.		• Coastal fisheries	30.06	C	P/C	C	P/C	Data received 30.06.2017. No data provided for artisanal fisheries	Agree
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30.06.2017	Agree
		• Longline fisheries	30.12	C	C	C	C	Data received 30.06.2017	Agree
Size Frequency									
5.3.	• Coastal fisheries	30.06	C	P/C	C	P/C	Data received 30.06.2017. Less than 1 fish per metric tons for some species; No data for artisanal fisheries	Agree	
	• Surface fisheries: PS, BB, GN	30.06	C	P/C	C	P/C	Data received 30.06.2017. Less than 1 fish per metric tons for some species	Agree	
	• Longline fisheries	30.12	C	P/C	C	P/C	Data received 30.06.2017. No size frequency for billfish species	Agree	
Fish Aggregating Devices (FAD)									
5.4.	Supply vessels	30.06	N/A	N/A	N/A	N/A	No supply vessel.	Agree	
	Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A		Agree	
	FADs set by type	30.06	N/A	N/A	N/A	N/A		Agree	
<b>6. Implementation of mitigation measures and bycatch of non-IOTC species</b>									
6.1.	Res. 17/05	Submission of data regarding Sharks – Nominal catch	30.06	C	P/C	C	P/C	Data received 30.06.2017; No data provided for artisanal fisheries.	Agree

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				Timeliness	Content	Timeliness	Content		
		Submission of data regarding Sharks – Catch & effort	30.06	C	P/C	C	P/C	Data received 30.06.2017; no data provided for artisanal fisheries.	Agree
		Submission of data regarding Sharks – Size frequency	30.06	C	P/C	C	P/C	Data provided only for one shark species (FAL) and not for all the fisheries.	Agree
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Source - IOTC-2016-CoC13-IR27: Shark Fisheries Management (High Seas) regulation No. 1938/2 published on 26th October 2015.	Agree
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C		Agree
6.4.	Res. 12/04	Report on progress of implementation of the FAO Guidelines and this Resolution <sup>2</sup>	16.03.2018	C	C	C	C	Information on implementation of FAO guideline /this Resolution received 16.03.18	Agree
6.5.		Data on interactions with marine turtles	30.06	C	C	C	C	Data received 30.06.2017; 308 Interactions reported	Agree
6.6.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Source: IOTC-2016-CoC13-IR27 & IOTC-2018-CoC15-CQ25: Alinea 4.(ix) of High Seas Fishing Operations Regulations No. 1 of 2014 amended 2015 (Received 15.03.16).	Agree
6.7.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree
6.8.	Res. 12/06	Seabirds report <sup>2</sup>	16.03.2018	N/A	N/A	C	C	Data received 30.06.2017. Sea bird catches are not reported due to the nature of the fishery and the gear used (IOTC-2018-CoC15-IR25).	Agree
6.9.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	N/A	N/A	N/A	N/A		Agree
6.10	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	C	C	C	C	Data received 30.06.2017. 1 Interaction.	Agree
		Instances of Cetaceans encircled <sup>2</sup>	For PS 16.03.2018	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree
6.11	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	C	C	Data received 30.06.2017. 1 Interaction.	Agree
		Instances Whale Sharks encircled <sup>2</sup>	For PS 16.03.2018	N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.	Agree
<b>7. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
7.1.	Res. 17/03	IUU listing	Session - 70 ds (06.03.2018)	C	C	C	C	No vessel listed on IOTC IUU list in 2017	Agree

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7.2.	Res. 07/01	Compliance by nationals	16.03.2018	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2017.	Agree
<b>8. Transshipments</b>									
8.1.	Res. 17/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in ROP.	
8.2.		Transshipments in port report <sup>2</sup>	16.03.2018	C	C	C	C	Has indicated At sea transshipments and offloading of catch in other states ports is legally banned in Sri Lanka (High seas fishing regulation (2014), IOTC-2018-CoC15-IR25.	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	Not participating in ROP.	
8.4.		Report on results of investigations on possible infractions	13.02.2018	N/A	N/A	N/A	N/A		
8.5.		ROP fee (call 14/12/2016)	12.01.2017	N/A	N/A	N/A	N/A		
<b>9. Observers</b>									
9.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	16.03.2018	C	P/C	N/C	N/C	Had 1455 vessels active in 2016. No information provided.	(1) For 2016 the number of active vessels should be corrected as 1461. (2) Sri Lanka did not operated large scale vessels (>24m) in year 2016. Therefore no observers deployed. (3) Sri Lanka repeatedly reported to IOTC the inability of deploying onboard observer in small vessels due to space and safety issues. Sri Lanka presented an alternate observer data collection program to the SC committee in 2016 but it was not accepted. Currently Sri Lanka has been selected for the pilot project of introduction of E-monitoring for the small vessels, Under these circumstances Sri Lanka deserves compliance status as not applicable (N/A) rather than N/C.
9.2.		• 5% Mandatory, at sea (All vessels) <sup>2</sup>	Since 2013	L	P/C	N/C	N/C	No information provided.	Agree.
9.3.		• 5 % Artisanal landings <sup>2</sup>	Since 2013	C	C	C	C	Source - IOTC-2017-SC20-NR26: has reported 15-18% coverage of total landing.	Agree.
9.4.		Observer reports	150 days after trip	P/C	P/C	N/C	N/C	No information provided.	(4) Sri Lanka conducted pilot project of onboard observer in 2015 in a view to

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									train a team of National observers and submitted reports . (5) In 2016 Sri Lanka did not operate Large vessels and the same explanation given in (9.1) applies here .
<b>10. Statistical document programme</b>									
10.1	Res. 01/06	1 <sup>st</sup> Semester report (2017)	01.10.2017	C	C	C	C	Received 29.09.17	Agree.
10.2		2 <sup>nd</sup> Semester report (2016)	01.04.2017	C	C	C	C	Received 01.04.17.	Agree.
10.3		Annual report <sup>2</sup> (2016)	16.03.2018	L	C	L	C	Received 03.04.18 JPN imported 14 Mt, EU 3.7 Mt of BET from LKA in 2016. LKA declared export of 3.4 Mt of BET. 300 KG of BET not accounted.	Agree.
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 23.02.17.	Agree.
<b>11. Port inspection</b>									
11.1	Res. 05/03	Port inspection programme	01.07	L	C	L	C	Has indicated At sea transshipments and offloading of catch in other states ports is legally banned in Sri Lanka (High seas fishing regulation (2014), IOTC-2018-CoC15-IR25.	Agree.
11.2	Res. 16/11	List of designated ports	Since 31.12.10	C	C	C	C	Has designated 5 ports.	Agree.
11.3		Designated competent Authority		C	C	C	C		Agree.
11.4		Prior notification periods		C	C	C	C		Agree.
11.5	Res. 16/11	Inspection report	3 days after inspection	P/C	C	P/C	C	Source - IOTC-2018-CoC15-CQ25: Call in port: 54 ; Foreign vessel inspected: 32 ; LAN/TRX monitored: 26.	Agree.
11.6		At least 5% inspection of LAN or TRX	Since 01.03.2011	N/C	N/C	L	C	Inspection reports submitted to Secretariat in 2017: 33 via e-PSM application, 26 with LAN/TRX monitoring forms. Use fully e-PSM application.	Agree.
11.7		Denial of entry in port		C	C	C	C	Source IOTC-2018-CoC15-CQ25: no vessel denied entry into port.	Agree.
<b>12. Market</b>									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports <sup>2</sup>	16.03.2018	C	C	C	C	Received 27.02.18.	Agree.

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**Feedback to Sri Lanka on the level of implementation of IOTC Conservation and Management Measures identified by the CoC14 in 2017.**

**Feedback:** With regards to the level of compliance by Sri Lanka to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Sri Lanka by the Chair of the Commission in a letter dated 26<sup>th</sup> May, 2017.

• Has not implemented the requirement of at least 5% inspection of LAN or TRX, as required by Resolution 16/11.
• Has not reported Size Frequency for the surface fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Size Frequency (Provisional) for the longline fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Size Frequency (Final) for the longline fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Size frequency on sharks at IOTC Standard, as required by Resolution 05/05.
• Has not fully implemented the observer scheme, as required by Resolution 11/04.
• Has not fully implemented the observer scheme for vessel > 24m, as required by Resolution 11/04.
• Has not fully implemented the observer scheme for vessel < 24m, as required by Resolution 11/04.
• Has not provided observer reports for LL vessels, as required by Resolution 11/04.
• Has not reported Nominal catch for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Catch and Effort for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Size Frequency for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.
• Has not reported Nominal catch on sharks at IOTC Standard, as required by Resolution 05/05.
• Has not reported Catch and Effort on sharks at IOTC Standard, as required by Resolution 05/05.

**Response:** The response to the letter of the Chair of the Commission was provided by Sri Lanka on 15 March 2018.

**Current issues on the level of implementation by Sri Lanka of IOTC Conservation and Management Measures identified for discussion in the CoC15 in 2018.**

Having reviewed the 2018 Compliance Report for Sri Lanka, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2018)	Previous Status (2017)
<b>Repeated compliance issues</b>		
• Has not implemented the observer scheme, as required by Resolution 11/04.	N/C	P/C
• Has not implemented the 5% Mandatory at sea requirement of the observer scheme, as required by Resolution 11/04.	N/C	P/C
• Has not provided observer reports, as required by Resolution 11/04.	N/C	P/C
• Has not reported Nominal catch for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Catch and Effort for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Size Frequency for the coastal fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Size Frequency for the surface fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Size Frequency for the longline fisheries at IOTC Standard, as required by Resolution 15/02.	P/C	P/C
• Has not reported Nominal catch on sharks at IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not reported Catch and Effort on sharks at IOTC Standard, as required by Resolution 05/05.	P/C	P/C
• Has not reported Size frequency on sharks at IOTC Standard, as required by Resolution 05/05.	P/C	P/C
<b>Non-repeated compliance issues</b>		
• None		