

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks
				Timeliness	Content	Timeliness	Content		
1. Implementation obligations									
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (16.03.2018)	C	C	C	C	Received: 15.03.18	
1.2.	Rules Of P.	Compliance Questionnaire.	28.02.2018	L	C	C	C	Received: 28.02.18	
1.3.	SC	National Scientific Report.	15.11.2017	C	C	C	C	Received: 06.11.17	
1.4.	Commission	Feedback letter.	16.03.2018	C	C	C	C	Received: 23.11.17	
2. Management Standards									
2.1.	Res. 15/04	Documents listed in this resolution on board ²	16.03.2018	C	C	C	C	Legal Reference:	
		Marking of vessels ²		C	C	C	C	Australian Fisheries	
		Marking of gears ²		C	C	C	C	Management Act	
		Marking of FADs ²		C	C	C	C	1991/Fisheries	
		Logbook on board ²		C	C	C	C	Management Regulations.	
		Official authorisation to fish outside National Jurisdictions.	Since 15.02.2014	C	C	C	C	Received 12.02.14	
		IMO number for eligible vessels.	Since 01.01.2016	L	P/C	C	C	1 vessel that is eligible for IMO number awaiting processing	
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Received 12.02.14	
2.3.	Res. 17/07	Ban on large-scale driftnets ²	16.03.2018	C	C	C	C	Banned since 2003, Legal Reference: Australian Fisheries Management Act 1991.	
2.4.	Res. 17/08	FADs management plan.	31.12.2013	C	C	N/A	N/A	No Purse Seine vessel operating in IOTC fisheries.	
		Report of progress on implementation of FADs management plan.	16.03.2018	N/A	N/A	N/A	N/A	Source: IOTC-2018-CoC15-IR01 – No FAD fishery authorised in 2017 in IOTC fisheries.	
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016	N/A	N/A	N/A	N/A	Source: IOTC-2018-CoC15-CQ01 – Banned since 2012 through the Skipjack Fishery Permit Conditions.	
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016	N/A	N/A	N/A	N/A	Source: IOTC-2018-CoC15-CQ01 – Banned since 2011 through the Skipjack Fishery Permit Conditions.	

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.7.	Res. 17/01	Report on methods for achieving the YFT catch reductions.	16.03.2018	C	C	N/A	N/A	Australia is not subject to yellowfin catch reduction	
2.8.		Purse seiners served by supply vessel.	01.01.2018			N/A	N/A	No supply vessel in the RAV	
2.9.		Plans for reducing the use of supply vessel.	31.12.2017			N/A	N/A		
2.10	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	16.03.2018	C	C	C	C	Received 16.03.18; Source IOTC-2018-CoC15-IR01.	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 15.02.18	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	N/A	N/A	N/A	N/A	Has confirmed that it will not submit a FDP.	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	Received 11.05.07.	
		List of vessels ³ for SWO and ALB during 2007		C	C	C	C	Received 30.06.08.	
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last update 15.02.18;	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C	Last update 15.02.18;	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Does not license foreign fishing vessel. Source - CQ 2018 and IOTC-2018-CoC15-IR01.	
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted since 2007.	
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	C	Received 30.06.17. Had 9 active vessels in 2016, has reported 70 vessels fitted with VMS in 2016.	
4.3.		VMS implementation plan	30.04.2016	N/A	N/A	N/A	N/A	VMS adopted since 2007 and coverage 100%.	
5. Mandatory statistical requirement – Flag State CPCs									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal fisheries	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30.06.17	
		• Longline fisheries	30.12	C	C	C	C	Data received 30.06.17	
5.2.		Catch & Effort							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal fisheries	

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5.3.		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30.06.17		
		• Longline fisheries	30.12	C	C	C	C	Data received 30.06.17		
		Size Frequency								
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	No coastal fisheries		
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Data received 30.06.17 Size frequency available for 1 species from PS (SBF) and less than 1 fish per MT – data for SBF is derived in accordance with CCSBT obligations		
5.4.		• Longline fisheries	30.12	C	C	C	C	Data received 30.06.17		
		Fish Aggregating Devices (FAD)								
		Supply vessels	30.06	N/A	N/A	N/A	N/A	No purse seiner and supply vessel active in IOTC fisheries in 2016		
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A			
FADs set by type	30.06	N/A	N/A	N/A	N/A					
6. Implementation of mitigation measures and bycatch of non-IOTC species										
6.1.	Res. 17/05	Submission of data regarding Sharks – Nominal catch	30.06	C	C	C	C	Data received 30.06.17. Shark catch reported as discard		
		Submission of data regarding Sharks – Catch & effort	30.06	C	C	C	C	Data received 30.06.17		
		Submission of data regarding Sharks – Size frequency	30.06	C	P/C	N/C	N/C	No data provided	Due to very low levels of effort in Australia's relevant fisheries, sampling is undertaken upon landing and is primarily focused on achieving effective sampling coverage for target species. As sharks are not a target species in these fisheries and given Australia has a very low catch of sharks in these fisheries (many species cannot be landed and all live sharks must be released), Australia is unable to meet the relevant sampling coverage and is therefore unable to provide size frequency for sharks. Australia believes that it should not be found P/C.	
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Banned since 2014; Ref: Statutory Fishing Rights Conditions		

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6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	Banned since 2012; Legal Reference: Australia's <i>Fisheries Management Act 1991</i> .	
6.4.	Res. 12/04	Report on progress of implementation of the FAO Guidelines and this Resolution ²	16.03.2018	C	C	C	C	Received: 16.03.18 Source: IOTC-2018-CoC15-IR01.	
6.5.		Data on interactions with marine turtles	30.06	C	C	C	C	Data received 13.11.17; Source IOTC-2017-SC20-NR01; 1 interaction in 2016	
6.6.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Has indicated obligation is in place since 2010; Legal Reference: Australia's <i>Fisheries Management Act 1991</i> .	
6.7.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No Purse Seine vessel operating in IOTC fisheries.	
6.8.	Res. 12/06	Seabirds report ²	16.03.2018	C	C	C	C	Data received 13.11.17; Source IOTC-2017-SC20-NR01; 1 interaction in 2016	
6.9.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Reference: Western Tuna and Billfish Fishery 2016 Management Arrangements	
6.10	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	C	C	C	C	Data received 13.11.17; Source IOTC-2017-SC20-NR01; nil interaction in 2016	
		Instances of Cetaceans encircled ²	For PS 16.03.2018	N/A	N/A	N/A	N/A	No Purse Seine vessel operating in IOTC fisheries.	
6.11	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	C	C	Data received 13.11.17; Source IOTC-2017-SC20-NR01; nil interaction in 2016	
		Instances Whale Sharks encircled ²	For PS 16.03.2018	N/A	N/A	N/A	N/A	No Purse Seine vessel operating in IOTC fisheries.	
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 17/03	IUU listing	Session - 70 ds (06.03.2018)	C	C	C	C	No vessel listed on IOTC IUU list in 2017.	
7.2.	Res. 07/01	Compliance by nationals	16.03.2018	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2017.	
8. Transshipments									
8.1.	Res. 17/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
8.2.		Transshipments in port report ²	16.03.2018	N/A	N/A	N/A	N/A	No transshipments by the flag state's vessels occurred in foreign ports	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A		

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8.4.		Report on results of investigations on possible infractions	13.02.2018	N/A	N/A	N/A	N/A	Not participating in the IOTC ROP.	
8.5.		ROP fee (call 14/12/2016)	12.01.2017	N/A	N/A	N/A	N/A		
9. Observers									
9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	16.03.2018	C	C	C	C	Had five LL active in 2016. Had e-monitoring and on-board observers five vessels (one vessel less than 24m; four vessels more than 24m).	
9.2.		• 5% Mandatory, at sea (All vessels) ²	Since 2013	C	C	C	C	From observer reports; 11.62% coverage in 2016.	
9.3.									
9.4.		• 5 % Artisanal landings ²	Since 2013	N/A	N/A	N/A	N/A	No artisanal fishery	
9.5.		Observer reports	150 days after trip	C	C	L	P/C	28 observer reports submitted. Missing some mandatory information, as per Resolution 11/04 requirements.	E-monitoring data submitted 30 April 2018. Note that this data is not in the same format as other observer reports. Seek Secretariat advice on best format to process this data. Australia's electronic monitoring program enables fishing activities to be monitored independently and fisheries data to be accurately reported.
10. Statistical document programme									
10.1	Res. 01/06	1 st Semester report (2017)	01.10.2017	C	C	C	C	Report received: 13.09.17	
10.2		2 nd Semester report (2016)	01.04.2017	C	C	C	C	Report received: 15.02.17	
10.3		Annual report ² (2016)	16.03.2018	N/A	N/A	N/A	N/A	Does not export frozen BET	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update 28.07.17	
11. Port inspection									
11.1	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	No landing by FFV in its ports in 2017.	
11.2		List of designated ports	Since 31.12.10	C	C	C	C	Has designated 378 ports	
11.3		Designated competent Authority		C	C	C	C	Report received 30.07.12	
11.4		Prior notification periods		C	C	C	C	Report received 30.07.12	
11.5	Res. 16/11	Inspection report	3 days after inspection	N/A	N/A	N/A	N/A	No landing by FFV in its ports in 2017.	
11.6		At least 5% inspection of LAN or TRX	Since 01.03.2011	N/A	N/A	N/A	N/A		
11.7		Denial of entry in port		N/A	N/A	N/A	N/A		
12. Market									

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12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports ²	16.03.2018	C	C	C	C	Report received 21.02.18	

Feedback to Australia on the level of implementation of IOTC Conservation and Management Measures identified by the CoC14 in 2017.

Feedback: With regards to the level of compliance by Australia to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Australia by the Chair of the Commission in a letter dated 26 May 2017.

• Has not reported size frequency to IOTC Standard for the longline fisheries (less than 1 fish per metric ton of catch per species), as required by Resolution 15/02.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not reported some IMO Numbers or non-eligibility for some vessels, as required by Resolution 15/04.

Response: The response to the letter of the Chair of the Commission was provided by Australia on 23 November 2017.

Current issues on the level of implementation by Australia of IOTC Conservation and Management Measures identified for discussion in the CoC15 in 2018.

Having reviewed the 2018 Compliance Report for Australia, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2018)	Previous Status (2017)
Repeated compliance issues		
• Has not reported Size frequency on sharks, as required by Resolution 05/05.	N/C	P/C
Non-repeated compliance issues		
• Has not reported some mandatory information in the observer reports, as required by Resolution 11/04 requirements.	P/C	C