

N°	Source	Information required	Deadline/ Remark	Previous	Status <sup>1</sup>	Current	Status	Observations	CPC Remarks	
				Timeliness	Content	Timeliness	Content			
<b>1. Implementation obligations</b>										
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (16.03.2018)	C	C	C	C	Received 16.03.18		
1.2.	Rules Of P.	Compliance Questionnaire.	28.02.2018	C	C	C	C	Received 28.02.18		
1.3.	SC	National Scientific Report.	15.11.2017	L	C	C	C	Received 15.11.17		
1.4.	Commission	Feedback letter.	16.03.2018	C	C	C	C	Received 16.03.18		
<b>2. Management Standards</b>										
2.1.	Res. 15/04	Documents listed in this resolution on board <sup>2</sup>	16.03.2018	C	C	C	C	Legal Reference: Ministerial Ordinance No.5, 22 Jan, 1963 (last revised : 22 Dec, 2017)		
		Marking of vessels <sup>2</sup>		C	C	C	C	Legal Reference: Ministerial Ordinance No.5, 22 Jan, 1963 (last revised : 22 Dec, 2017)		
		Marking of gears <sup>2</sup>		C	C	C	C	Has indicated gears are marked: Source IOTC-2017-CoC14-CQ11. Legal Reference: Notice of Director-General of Resources Management Department of Fisheries Agency of Japan No.1618, August 1, 2017.		
		Marking of FADs <sup>2</sup>		C	C	C	C	Legal Reference: Notice of Director-General of Resources Management Department of Fisheries Agency of Japan No. 1618, Aug 1, 2017.		
		Logbook on board <sup>2</sup>		C	C	C	C	Legal Reference: Ministerial Ordinance No.5, 22 Jan, 1963 (last revised : 22 Dec, 2017)		
		Official authorisation to fish outside National Jurisdictions.		Since 15.02.2014	C	C	C	C	Received 28.02.18 (update).	
		IMO number for eligible vessels.		Since 01.01.2016	C	C	C	C	Has 213 vessels in the RAV and 213 with IMO numbers.	
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Received 01.08.2016		
2.3.	Res. 17/07	Ban on large-scale driftnets <sup>2</sup>	16.03.2018	C	C	C	C	Banned 2009, Legal Reference: Act No.267, 15 Dec, 1949 (last revised : 2 Dec, 2016) and Ministerial Ordinance. No.54, 26 Aug, 1996 (last revised : 4 Sep, 2017)		
2.4.	Res. 17/08	FADs management plan.	31.12.2013	C	C	C	C	Received 10.04.17 (updated).		
		Report of progress on implementation of FADs management plan.	16.03.2018	C	C	C	C	Received 13.03.18		

<sup>1</sup> C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

<sup>2</sup> Information is to be provided in the Report of Implementation.

<sup>3</sup> 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016	N/A	N/A	C	C	Has reported to use until 31.12.17, source letter dated 21.06.16	
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016	N/A	N/A	C	C	Has reported banned since 2018 by Ministerial Ordinance, source IOTC-2018-CoC15-IR10	
2.7.	Res. 17/01	Report on methods for achieving the YFT catch reductions.	16.03.2018	N/A	N/A	N/A	N/A	Catch reductions does not apply to Japan.	
2.8.		Purse seiners served by supply vessel.	01.01.2018			N/A	N/A	No SP on the record of	
2.9.		Plans for reducing the use of supply vessel.	31.12.2017			N/A	N/A	authorised vessels	
2.10	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	16.03.2018	C	C	C	C	Report/information received 16.03.18, Methods are: timely collection, processing and reporting of data.	
<b>3. Reporting on Vessels</b>									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	C	Received 15.02.18	
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	N/A	N/A	N/A	N/A	Has confirmed it will not submit a FDP.	
3.3.	Res. 15/11	Reference Capacity							
		List of vessels <sup>3</sup> for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	Received 26.03.09	
		List of vessels <sup>3</sup> for SWO and ALB during 2007		N/A	N/A	N/A	N/A	No vessels fishing for SWO and ALB in 2007.	
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last Update 01.03.18	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	N/A	N/A	N/A	N/A	No vessel < 24m in the IOTC RECORD OF AUTHORISED VESSELS	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	N/A	N/A	N/A	N/A	Not an IOTC coastal State and does not have CPC-CPC Agreement.	
3.7.		List of foreign vessels denied a licence	15.02	N/A	N/A	N/A	N/A		
3.8.		Access Agreement information	26.02.2015	N/A	N/A	N/A	N/A		
3.9.		Official coastal State fishing License	14.01.2014	N/A	N/A	N/A	N/A		
<b>4. Vessel Monitoring System</b>									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	VMS adopted in 2007. Legal Reference: Ministerial Ordinance No.5, 22 Jan, 1963 (last revised : 22 Dec, 2017).	
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	C	Received 30.06.17 Has reported 225 vessels fitted with VMS in 2016.	
4.3.		VMS implementation plan	30.04.2016	N/A	N/A	N/A	N/A	VMS adopted in 2007 and coverage 100%.	
<b>5. Mandatory statistical requirement – Flag State CPCs</b>									
5.1.	Res. 15/02	Nominal Catch							

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	& Res 15/05	• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Date received 30.06.17	
		• Longline fisheries	30.12	C	C	C	C	Date received 30.06.17	
5.2.		Catch & Effort							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
		• Surface fisheries: PS, BB, GN	30.06	C	C	C	C	Date received 30.06.17	
5.3.		• Longline fisheries	30.12	C	C	C	C	Date received 30.06.17	
		Size Frequency							
		• Coastal fisheries	30.06	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
5.4.		• Surface fisheries: PS, BB, GN	30.06	C	C	L	C	Date received 16.04.18.	
		• Longline fisheries	30.12	C	P/C	C	P/C	Date received 30.06.17. Not by IOTC standard, less than 1 fish per MT for some species	
		Fish Aggregating Devices (FAD)							
6.4.	Res. 17/05	Supply vessels	30.06	C	C	L	C	Date received 16.04.18	
		Days at sea by supply vessels	30.06	C	C	L	C	Date received 16.04.18	
		FADs set by type	30.06	C	C	L	C	Date received 16.04.18	
<b>6. Implementation of mitigation measures and bycatch of non-IOTC species</b>									
6.1.	Res. 17/05	Submission of data regarding Sharks – Nominal catch	30.06	C	C	C	C	Date received 30.06.17	
		Submission of data regarding Sharks – Catch & effort	30.06	C	C	C	C	Date received 30.06.17	
		Submission of data regarding Sharks – Size frequency	30.06	C	P/C	C	P/C	Date received 30.06.17. Not by IOTC standard, less than 1 fish per MT	
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	Banned since 2010. Legal	
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	Reference: Ministerial Ordinance No.5, 22 Jan, 1963 (last revised : 22 Dec, 2017).	
6.4.	Res. 12/04	Report on progress of implementation of the FAO Guidelines and this Resolution <sup>2</sup>	16.03.2018	C	C	C	P/C	Has reported “Japan has been taking actions in accordance with the FAO Guidelines on sea turtle by-catch and in accordance with IOTC conservation and management measures on by-catch of sea turtles, source IOTC-2017-SC20-NR11. Some sections of the FAO guideline not covered: 4. Education and training; 5. Capacity building; 6. Socio-economic and cultural considerations, 8. Consideration of other aspects of sea turtle conservation and management.	Res. 12/04 says that CPCs will implement, as appropriate, the FAO Guidelines and that CPCs shall report their progress of its implementation. Japan implemented and reported according to these provisions. It's inappropriate to assess the level of compliance of CPCs in comparison with

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									each content of the FAO Guidelines since implementation of the Guidelines is non-binding.
6.5.		Data on interactions with marine turtles	30.06	C	C	L	C	Date received 01.04.18 From observer reports. 11 interactions for 2016.	
6.6.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	Obligation is in place since 2009; Legal Reference: Notice of Director-	
6.7.		Carry dip nets (Purse seiners)	Since 06.08.2009	C	C	C	C	General of Resources Management Department of Fisheries Agency of Japan No. 1618, August 1, 2017.	
6.8.		Seabirds report <sup>2</sup>	16.03.2018	C	C	L	C	Date received 01.04.18 From observer reports. 111 interactions for 2016.	
6.9.	Res. 12/06	Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Obligation is in place since 2010; Legal Reference: Ministerial Ordinance No.5, 22 Jan, 1963 (last revised : 22 Dec, 2017) and Notification No.2575 of the Ministry of Agriculture, forestry and Fisheries of December 28, 2016.	
6.10	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	C	C	L	C	Date received 01.04.18 from observer reports. 3 interactions for 2016.	
		Instances of Cetaceans encircled <sup>2</sup>	For PS 16.03.2018	C	C	C	C	Data received 16.03.17. 0 instances reported for 2017, source IOTC-2018-CoC15-IR10	
6.11	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	L	C	Date received 01.04.18 from observer reports. 0 interactions for 2016.	
		Instances Whale Sharks encircled <sup>2</sup>	For PS 16.03.2018	C	C	C	C	Data received 16.03.17. 0 instance reported for 2017, source IOTC-2018-CoC15-IR10	
<b>7. Illegal, Unreported and Unregulated (IUU) Vessels</b>									
7.1.	Res. 17/03	IUU listing	Session - 70 ds (06.03.2018)	C	C	C	C	No vessel listed on IOTC IUU list in 2017.	
7.2.	Res. 07/01	Compliance by nationals	16.03.2018	C	C	C	C	No national on board vessels listed on IOTC IUU list in 2017.	
<b>8. Transshipments</b>									
8.1.		At sea transshipments – CPC report	Before 15.09	C	C	C	C	Received 13.09.17	
8.2.		Transshipments in port report <sup>2</sup>	16.03.2018	C	C	C	C	Received 16.03.18.	
8.3.	Res. 17/06	List of Authorised carrier vessels	Since 01.07.2008	C	C	C	C	Last Update 14.03.18	
8.4.		Report on results of investigations on possible infractions	13.02.2018	C	C	C	C	Possible infractions: 28 Responses received: 28	
8.5.		ROP fee (call 09.03.18)	09.04.18	C	C	C	C	Received 06.04.18	
<b>9. Observers</b>									

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9.1.	Res. 11/04	Regional Observer Scheme <sup>2</sup> (No. of vessels monitored and coverage by gear type)	16.03.2018	C	C	C	C	Had 46 vessels active in 2016; No. of vessels monitored: 9; Coverage by gear LL 5.7%	Japan submitted the data for No. of vessels monitored and coverage by gear type on 16 Mar.
9.2.		• 5% Mandatory, at sea (All vessels) <sup>2</sup>	Since 2013	C	C	C	C	Coverage by gear LL 5.7%.	
9.3.									
9.4.		• 5 % Artisanal landings <sup>2</sup>	Since 2013	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
9.5.		Observer reports	150 days after trip	L	C	L	C	Nine Observer reports provided by JPN	
<b>10. Statistical document programme</b>									
10.1	Res. 01/06	1 <sup>st</sup> Semester report (2017)	01.10.2017	C	C	C	C	Report received 26.09.17	
10.2		2 <sup>nd</sup> Semester report (2016)	01.04.2017	C	C	C	C	Report received 30.03.17. TWN CHN, declared having exported 11.8 Mt of frozen BET to JPN in 2016 and JPN has declared having imported 4455 Mt in the 2nd semester report 2016.	According to Japan's Statistical Document, the frozen BET imported to JPN from TWN CHN was 4,455 tonnes in Jul – Dec 2016. It's same scale as 5,334 tonnes in 2015 and 6,481 tonnes in 2014. Consequently, Japan thinks that export quantity reported by TWN CHN is underestimated.
10.3		Annual report <sup>2</sup> (2016)	16.03.2018	C	C	C	C	Report received 15.03.18.	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last Update 23.03.18	
<b>11. Port inspection</b>									
11.1	Res. 05/03	Port inspection programme	01.07	N/A	N/A	N/A	N/A	Not an IOTC coastal State.	
11.2	Res. 16/11	List of designated ports	Since 31.12.10	N/A	N/A	N/A	N/A		
11.3		Designated competent Authority		N/A	N/A	N/A	N/A		
11.4		Prior notification periods		N/A	N/A	N/A	N/A		
11.5		Inspection report		3 days after inspection	N/A	N/A	N/A		N/A
11.6		At least 5% inspection of LAN or TRX	Since	N/A	N/A	N/A	N/A		
11.7		Denial of entry in port	01.03.2011	N/A	N/A	N/A	N/A		
<b>12. Market</b>									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports <sup>2</sup>	16.03.2018	C	C	C	C	Report received 16.03.18	

**Feedback to Japan on the level of implementation of IOTC Conservation and Management Measures identified by the CoC14 in 2017.**

**Feedback:** With regards to the level of compliance by Japan to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to Japan by the Chair of the Commission in a letter dated 26<sup>th</sup> May, 2017.

• Has not reported Size frequency on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not reported Size frequency for the longline fishery to IOTC Standard (less than one fish measured per metric ton), as required by Resolution 15/02.
• Has not provided a complete transshipment in port report, as required by Resolution 14/06.
• Has not attained the required level of observer coverage for vessels >24m, as required by Resolution 11/04.

**Response:** The response to the letter of the Chair of the Commission was provided by Japan on 16 March 2018.

**Current issues on the level of implementation by Japan of IOTC Conservation and Management Measures identified for discussion in the CoC15 in 2018.**

Having reviewed the 2018 Compliance Report for Japan, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

<b>Compliance issues</b>	<b>Current status (2018)</b>	<b>Previous Status (2017)</b>
<b>Repeated compliance issues</b>		
• Has not reported Size frequency for the longline fishery to IOTC Standard (less than one fish measured per metric ton), as required by Resolution 15/02.	P/C	P/C
• Has not reported Size frequency on sharks to IOTC Standard (less than one fish measured per metric ton), as required by Resolution 17/05.	P/C	P/C
<b>Non-repeated compliance issues</b>		
• Has not provided all information related to the Report on progress of implementation of the FAO Guidelines and this Resolution	P/C	C