

N°	Source	Information required	Deadline/ Remark	Previous	Status ¹	Current	Status	Observations	CPC Remarks	
				Timeliness	Content	Timeliness	Content			
1. Implementation obligations										
1.1.	Art. X Agreement	Report of Implementation.	Session - 60 ds (16.03.2018)	C	C	C	C	Received 14.03.18		
1.2.	Rules Of P.	Compliance Questionnaire.	28.02.2018	L	C	L	C	Received 13.04.18		
1.3.	SC	National Scientific Report.	15.11.2017	L	C	C	C	Received 30.10.17		
1.4.	Commission	Feedback letter.	16.03.2018	N/C	N/C	L	C	Received 13.04.18		
2. Management Standards										
2.1.	Res. 15/04	Documents listed in this resolution on board ²	16.03.2018	C	C	C	C	Has indicated all documents on board, source IOTC-2018-CoC15-CQ24 No legal reference provided		
		Marking of vessels ²		C	C	C	C	Has indicated vessels are marked, source IOTC-2018-CoC15-CQ24 No legal reference provided		
		Marking of gears ²		L	C	L	C	Has indicated gears are marked, source IOTC-2018-CoC15-CQ24 Legal reference: Regulation of Marine Living Resources Act 1998.		
		Marking of FADs ²		N/A	N/A	N/A	N/A	Does not have PS vessel on the Record of Authorised Vessels.		
		Logbook on board ²		C	C	C	C	Source: IOTC-2013-CoC10-IR33		
		Official authorisation to fish outside National Jurisdictions.		Since 15.02.2014	L	C	L	C	Received: 16.03.17 No update received.	Status quo remains, no update required.
		IMO number for eligible vessels.		Since 01.01.2016	C	P/C	C	C	Has 29 vessels on the Record of Authorised Vessels and 27 with IMO numbers. (2 vessels are not eligible for IMO Numbers)	New revised vessel lists submitted, replacing all other lists submitted before as some of the vessels were not operating. All vessels have IMO and those that don't have an IMO, explanation provided.
2.2.	Res. 15/01	Official fishing logbooks.	Since 15.02.2016	C	C	C	C	Update received 04.07.17 for PL and LL gears. No update received.		
2.3.	Res. 17/07	Ban on large-scale driftnets ²	16.03.2018	C	C	C	C	As part of permit conditions.		
2.4.	Res. 17/08	FADs management plan.	31.12.2013	N/A	N/A	N/A	N/A	Does not have PS vessel on the		

¹ C = Compliant; N/C= Non-compliant; N/A = Not Applicable; P/C = Partially Comply; L = Late; CAP = Compliance Action Plan; CQ = Compliance Questionnaire.

² Information is to be provided in the Report of Implementation.

³ 24 meters overall length and over, and under 24 meters if they fished outside their EEZ.

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		Report of progress on implementation of FADs management plan.	16.03.2018	N/A	N/A	N/A	N/A	Record of Authorised Vessels.	
2.5.	Res. 16/07	Prohibition of surface or submerged artificial lights to attract fish.	27.09.2016	L	P/C	L	C	As part of South Africa's permit conditions, source IOTC-2018-CoC15-FL24	
2.6.	Res. 16/08	Prohibition of aircrafts and unmanned aerial vehicles.	27.09.2016	N/C	N/C	L	C	As part of South Africa's Large pelagic LL permit conditions provided on 19.04.18, p 48.	
2.7.		Report on methods for achieving the YFT catch reductions.	16.03.2018	N/A	N/A	N/A	N/A	Catch reductions does not apply to ZAF	
2.8.	Res. 17/01	Purse seiners served by supply vessel.	01.01.2018			N/A	N/A	Does not have PS vessel on the	
2.9.		Plans for reducing the use of supply vessel.	31.12.2017			N/A	N/A	Record of Authorised Vessels.	
2.10	Res. 16/06	Report actions taken to implement reporting obligations & improve data collection of catches.	16.03.2018	C	C	C	C	Information received 14.03.18, source IOTC-2018-CoC15-IR24 Actions are: logbook, observer, VMS, database.	
3. Reporting on Vessels									
3.1.	Res. 10/08	List of Active vessels	15.02	C	C	C	P/C	Received 15.02.18. Has reported 17 vessels flagged to ZAF and 3 vessels flagged to JPN.	Complied, report submitted.
3.2.	Res. 15/11	Fleet Development Plan (FDP).	By 31.12.2010 (10 years)	C	C	C	P/C	Has indicated ZAF yet to provide information on the implementation of the initial FDP and to consult with stakeholders to provide an updated FDP, source IOTC-2016-SC19-NR26. FDP terminated in 2008. No FDP update received.	FDP has not yet been updated.
3.3.	Res. 15/11	Reference Capacity							
		List of vessels ³ for Tropical Tuna during 2006.	31.12.2009	C	C	C	C	Received 08.08.14	
	List of vessels ³ for SWO and ALB during 2007	C		C	C	C	Received 08.08.14		
3.4.	Res. 15/04	List of Authorized vessels 24 metres in length overall or more	Since 01.07.2003	C	C	C	C	Last Update 19.04.18	
3.5.		List of Authorized vessels (less than 24m, operating in waters outside EEZ of the flag state)	Since 01.07.2006	C	C	C	C	(IOTC REF: ZAF-00038)	
3.6.	Res. 14/05	List of foreign vessels licensed in EEZ	15.02	L	C	N/A	N/A	Has reported does not grant licence to foreign vessels	
3.7.		List of foreign vessels denied a licence	15.02	L	C	N/A	N/A	Source IOTC-2018-CoC15-FL24	

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3.8.		Access Agreement information	26.02.2015	C	C	C	C	Has terminated all tuna fishing access agreements in 2002 (IOTC-2013-CoC10-IR33).	
3.9.		Official coastal State fishing License	14.01.2014	C	C	C	C	Received 20.03.17 and 10.04.17	
4. Vessel Monitoring System									
4.1.	Res. 15/03	Adoption VMS for all vessels > 24 m and < 24 m fishing high seas	Since 01.07.2007	C	C	C	C	Adopted since 1998.	
4.2.		VMS report on implementation and technical failures	30.06	C	C	C	C	Received 16.03.17.	
4.3.		VMS implementation plan	30.04.2016	N/A	N/A	N/A	N/A	VMS adopted in 1998, coverage > 50%	
5. Mandatory statistical requirement – Flag State CPCs									
5.1.	Res. 15/02 & Res 15/05	Nominal Catch							
		• Coastal fisheries	30.06	L	C	C	C	Data received 30.06.17	
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN active in 2016	
• Longline fisheries		30.12	L	C	C	C	Data received 30.06.17		
Catch & Effort									
5.2.		• Coastal fisheries	30.06	L	C	C	C	Data received 30.06.17	
	• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN active in 2016		
	• Longline fisheries	30.12	L	C	C	C	Data received 30.06.17		
5.3.	Size Frequency								
	• Coastal fisheries	30.06	N/C	N/C	N/C	N/C	No data provided.	Submitted to the IOTC Secretariat – 30/06/2017, all Large Pelagic Longline data was submitted and South Africa does not have coastal fisheries for Large Pelagics. In terms of our policies, coastal line fisheries is not a tuna sector, thus no data was provided. Because you have pointed out that South Africa has submitted CE for “coastal line fisheries”, we now understand that you are referring to the Tuna Pole-Line sector (TPL). This sector predominantly operates in South Africa’s ICCAT area. Of the over 2000 tons of total TPL catch, only 2.57 tons were taken in the IOTC area. This equates to 0.3%	

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									of the total Tuna Pole-line catch and less than 0.5% of the total effort. Recalling that the TPL sector is not permitted to catch and land any pelagic shark species or swordfish, no data is available for these species.
		• Surface fisheries: PS, BB, GN	30.06	N/A	N/A	N/A	N/A	No PS, BB, GN active in 2016	
		• Longline fisheries	30.12	N/C	N/C	L	P/C	Data received 19.04.18 . Has provided size data for one IOTC species (SWO). Not by IOTC standard, less than 1 fish measured per MT.	Submitted to the IOTC Secretariat – 30/06/2017. Please see the attached revised report – splitting local and chartered vessels, which was originally combined.
		Fish Aggregating Devices (FAD)							
5.4.		Supply vessels	30.06	N/A	N/A	N/A	N/A	No purse seine or supply vessel on list of authorised vessel 2016	
		Days at sea by supply vessels	30.06	N/A	N/A	N/A	N/A		
		FADs set by type	30.06	N/A	N/A	N/A	N/A		
6. Implementation of mitigation measures and bycatch of non-IOTC species									
6.1.	Res. 17/05	Submission of data regarding Sharks – Nominal catch	30.06	L	C	C	P/C	Data received 30.06.17. Has provided NC/CE but aggregated for some species.	ZAF have made efforts to facilitate requiem shark identification by fishers by providing identification guides, in accordance with the shark NPOA. However, misidentification of this species complex remains an issue. To avoid reporting inaccurate data, ZAF has aggregated this species complex.
		Submission of data regarding Sharks – Catch & effort	30.06	L	C	C	P/C		
		Submission of data regarding Sharks – Size frequency	30.06	N/C	N/C	L	P/C	Data received 19.04.18 . Has provided size data for two shark species(SMA & BSH). Not by IOTC standard, less than 1 fish measured per MT.	Submitted to the IOTC Secretariat – 30/06/2017. Please see the attached revised report – splitting local and chartered vessels, which was originally combined. It should be noted that the “foreign vessels” are charter vessels fishing for South African

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									entities and data accrue to South Africa.
6.2.	Res. 12/09	Prohibition on thresher sharks of all the species of the family <i>Alopiidae</i>	Since 07.07.2010	C	C	C	C	As part of permit conditions article 6.f).	
6.3.	Res. 13/06	Prohibition on oceanic whitetip sharks	Since 14.08.2013	C	C	C	C	As part of permit conditions article 6.f).	
6.4.	Res. 12/04	Report on progress of implementation of the FAO Guidelines and this Resolution ²	16.03.2018	P/C	P/C	C	C	Has indicated information provided, source IOTC-2017-SC20-NR26.	
6.5.		Data on interactions with marine turtles	30.06	C	C	C	C	Data received 30.06.17; 1 interaction reported for 2016	
6.6.		Carry line cutters and de-hookers on board (Longliners)	Since 06.08.2009	C	C	C	C	As part of permit conditions (IOTC-2013-CoC10-IR33).	
6.7.		Carry dip nets (Purse seiners)	Since 06.08.2009	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2017.	
6.8.	Res. 12/06	Seabirds report ²	16.03.2018	C	C	C	C	Data received 30.06.17; Nil interactions reported for 2016	
6.9.		Implementation of mitigation measures south of 25°S	Since 01.11.2010	C	C	C	C	Has indicated measures implemented, source IOTC-2017-SC20-NR26.	
6.10	Res. 13/04	Data on interactions with Cetaceans	30.06 (All gears)	C	C	C	C	Data received 30.06.17; Nil interaction reported for 2016	
		Instances of Cetaceans encircled ²	For PS 16.03.2018	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2017.	
6.11	Res 13/05	Data on interactions with Whale Sharks	30.06 (All gears)	C	C	C	C	Data received 30.06.17; Nil interactions reported for 2016	
		Instances Whale Sharks encircled ²	For PS 16.03.2018	N/A	N/A	N/A	N/A	No PS operating in the Indian Ocean in 2017.	
7. Illegal, Unreported and Unregulated (IUU) Vessels									
7.1.	Res. 17/03	IUU listing	Session - 70 ds (06.03.2018)	C	C	C	C	No vessel listed on IOTC IUU list in 2017.	
7.2.	Res. 07/01	Compliance by nationals	16.03.2018	C	C	C	C	No nationals on board vessels listed on IOTC IUU list in 2017.	
8. Transshipments									
8.1.	Res. 17/06	At sea transshipments – CPC report	Before 15.09	N/A	N/A	N/A	N/A	At sea transshipments not permitted.	
8.2.		Transshipments in port report ²	16.03.2018	C	C	C	C	NIL report received 14.03.18	
8.3.		List of Authorised carrier vessels	Since 01.07.2008	N/A	N/A	N/A	N/A	No carrier vessel & Not participating in ROP.	
8.4.		Report on results of investigations on possible infractions	13.02.2018	N/A	N/A	N/A	N/A	No participating in ROP.	
8.5.		ROP fee (call 09.03.2017)	06.04.2018	N/A	N/A	N/A	N/A		
9. Observers									

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9.1.	Res. 11/04	Regional Observer Scheme ² (No. of vessels monitored and coverage by gear type)	16.03.2018	C	C	C	C	Source IOTC-2017-SC20-NR26; 13 vessels active in 2016. 1 local vessel, 0.87% coverage on LL fleet. Has observed 4 JPN foreign vessels (joint-venture), not included in the assessment.	The IOTC Secretariat has misinterpreted the information in IOTC-2017-SC20-NR26. To clarify, 64% of hooks set in the IOTC area were observed, and 100% of hooks set by foreign flagged vessels were observed. This does not mean 100% of observed hooks were derived from foreign vessels. Local vessels did carry an observer in 2016 -see Ubuntu trip report (9-24 January 2016).
9.2.									See explanation 9.1
9.3.		• 5% Mandatory, at sea (All vessels) ²	Since 2013	C	C	C	P/C	0.87% coverage (one ZAF vessel monitored)	It should be noted that the “foreign vessels” are charter vessels fishing for South African entities and data accrue to South Africa.
9.4.		• 5 % Artisanal landings ²	Since 2013	C	C	C	C	Sampling during unloading (>70% of trips covered)	
9.5.		Observer reports	150 days after trip	C	C	L	C	One observer reports received for one ZAF flagged LL vessel. Has submitted 4 observer reports for JPN flagged LL vessels, not included in the assessment.	Submitted 13/04/2018
10. Statistical document programme									
10.1	Res. 01/06	1 st Semester report (2017)	01.10.2017	N/A	N/A	N/A	N/A	Does not import Bigeye tuna, source IOTC-2018-CoC15-CQ24	
10.2		2 nd Semester report (2016)	01.04.2017	N/A	N/A	L	C	Received 04.05.18	
10.3		Annual report ² (2016)	16.03.2018	N/A	N/A	N/A	N/A	Has indicated no export of frozen Bigeye tuna, source IOTC-2018-CoC15-IR24.	
10.4		Information on authorised institutions and personnel	Since 01.07.2002	C	C	C	C	Last update: 31.03.15.	
11. Port inspection									
11.1	Res. 05/03	Port inspection programme	01.07	C	C	C	C	Received 11.04.17	
11.2	Res. 16/11	List of designated ports	Since 31.12.10	C	C	C	C	Has designated 2 ports. (Cape Town, Durban)	
11.3		Designated competent Authority		C	C	C	C		
11.4		Prior notification periods		C	C	C	C		
11.5		Inspection report		3 days after inspection	L	C	L		C

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								PIR submitted 65; LAN/TRX monitored: 65. e-PSM application: 67 PIR submitted, 37 LAN/TRX monitoring forms submitted.	
11.6		At least 5% inspection of LAN or TRX	Since 01.03.2011	L	P/C	L	C	Using partially e-PSM application in 2017 & only for 1 designated port (Cape Town).	Using full e-PSM application for all 3 designated ports.
11.7		Denial of entry in port		C	C	C	C	No denial in 2017, source IOTC-2018-CoC15-CQ24	
12. Market									
12.1	Res. 10/10	Report on import, landing and transshipment of tuna and tuna-like fish products in ports ²	16.03.2018	L	P/C	L	C	Received 15/04.18	

Feedback to South Africa on the level of implementation of IOTC Conservation and Management Measures identified by the CoC14 in 2017.

Feedback: With regards to the level of compliance by South Africa to the decisions of the Commission, the Compliance Committee noted certain issues that required attention. These concerns were communicated to South Africa by the Chair of the Commission in a letter dated 26th May, 2017.

• Has not provided a response to the letter of feedback, as required by the S17.
• Has not reported Size frequency for the coastal fisheries, as required by Resolution 15/02.
• Has not reported Size frequency for the longline fisheries (provisional), as required by Resolution 15/02.
• Has not reported Size frequency for the longline fisheries (final), as required by Resolution 15/02.
• Has not reported Size frequency on sharks, as required by Resolution 05/05.
• Has not implemented the requirement on 5% phasing in artisanal landings, as required by Resolution 11/04
• Has not reported Nominal catch on sharks to IOTC Standard as required by Resolution 05/05.
• Has not provided the information on the IMO number for eligible vessel, as required by Resolution 15/04
• Has not reported Catch and effort on sharks to IOTC Standard, as required by Resolution 05/05.
• Has not provided information on the implementation of the FAO guideline to reduce mortality of sea turtles, as required by Resolution 12/04
• Has not fully implemented the requirement on foreign vessels denied a license, as required by Resolution 14/05
• Has not fully implemented the requirement on at least 5% inspection of LAN or TRX, as required by Resolution 16/11.
• Has not reported fully import, landing and transshipment of tuna and tuna-like fish products in ports, as required by Resolution 10/10.
• Has not fully implemented the prohibition of surface or submerged artificial lights to attract fish, as required by Resolution 16/07
• Has not implemented the prohibition of aircrafts and unmanned aerial vehicles, as required by Resolution 16/08

Response: The response to the letter of the Chair of the Commission was provided by South Africa on 13 April 2018.

Current issues on the level of implementation by South Africa of IOTC Conservation and Management Measures identified for discussion in the CoC15 in 2018.

Having reviewed the 2018 Compliance Report for South Africa, the Chair of the Compliance Committee has identified the following significant non-compliance issues for discussion.

Compliance issues	Current status (2018)	Previous Status (2017)
Repeated compliance issues		
• Has not reported Size frequency for the coastal fisheries, as required by Resolution 15/02.	N/C	N/C
• Has not reported Size frequency for the longline fisheries, as required by Resolution 15/02.	P/C	N/C
• Has not reported Size frequency on sharks to IOTC Standard, as required by Resolution 17/05.	P/C	N/C
Non-repeated compliance issues		
• Has provided the list of active vessels in 2017 including foreign vessels, as required by Resolution 10/08	P/C	C

• Has not fully implemented the requirement of the Fleet Development Plan (FDP terminated in 2015), as required by Resolution 15/11.	P/C	C
• Has not reported Nominal catch on sharks to IOTC Standard, as required by Resolution 17/05.	P/C	C
• Has not reported Catch and Effort on sharks to IOTC Standard, as required by Resolution 17/05.	P/C	C
• Has not fully implemented the requirement on 5% Mandatory, at sea (All vessels), as required by Resolution 11/04	P/C	C