

RESOLUTION 03/03
CONCERNING THE AMENDMENT OF THE FORMS OF THE IOTC STATISTICAL DOCUMENTS

The Indian Ocean Tuna Commission (IOTC),

NOTING that the Resolution 02/05 [superseded by Resolution 05/02, then by Resolution 07/02, then by Resolution 13/02, then by Resolution 14/04, then by [Resolution 15/04](#)] Concerning the Establishment of an IOTC Record of Vessels over 24 metres Authorised to Operate in the IOTC Area prescribes that both exporting and importing CPC shall cooperate to ensure to avoid the forgery or misinformation of the statistical documents;

RECOGNISING that additional information such as vessel length is necessary for better implementation of Commission's Conservation and Management Measures and for the smooth implementation of the Resolution 02/05;

ADOPTS, in accordance with paragraph 1 of Article IX of IOTC Agreement, that:

The sample forms of the statistical documents and instruction sheets in the [Resolution 01/06](#) *Concerning the IOTC bigeye tuna statistical document programme* shall be replaced by the attached forms and instructions respectively.

The Commission shall communicate with other relevant regional fishery management bodies which established the statistical document programs and the authorised vessel records and request them to implement the similar reform.

Conservation and Management Measures linked to [Resolution 03/03](#) or return to the [Table of Contents](#)

Links from within [Resolution 03/03](#)

Links from other CMMs

[Resolution 15/04](#)

[Resolution 01/06](#)

[Recommendation 05/07](#)

ANNEX I

REQUIREMENTS CONCERNING THE IOTC BIGEYE TUNA STATISTICAL DOCUMENT

1. The sample form of the IOTC Bigeye Tuna Statistical Document shall be as in the Appendix.
2. Customs or other appropriate government officials will request and inspect all import documentation including the IOTC Bigeye Tuna Statistical Document for all bigeye tuna in the shipment. Those officials may also inspect the content of each shipment to verify the information on the document.
3. Only complete and valid documents will guarantee that shipments of bigeye tuna will be allowed to enter the territory of Contracting Parties.
4. Shipments of bigeye tuna that are accompanied by improperly documented Bigeye Tuna Statistical Documents (i.e., improperly documented means that the Bigeye Tuna Statistical Document is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bigeye tuna, that are contrary to IOTC conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Contracting Party or subject to administrative or other sanction.
5. The import of fish parts other than the meat, i.e., head, eyes, roe, guts, tails may be allowed without the document.



APPENDIX

DOCUMENT NUMBER	IOTC BIGEYE TUNA STATISTICAL DOCUMENT			
EXPORT SECTION				
1. FLAG OF COUNTRY/ENTITY/FISHING ENTITY				
2. DESCRIPTION OF VESSEL AND REGISTRATION NUMBER (if applicable)				
Vessel Name				
Registration Number				
LOA (m)				
IOTC Record No. (if applicable) :				
3. TRAPS (if applicable)				
4. POINT OF EXPORT (City, State / Province, Country / Entity / Fishing Entity)				
5. AREA OF CATCH (check one of the following)				
(a) Indian (b) Pacific (c) Atlantic				
* In case of (b) or (c) checked, the item 6 and 7 below do not need to be filled out.				
6. DESCRIPTION OF FISH				
Product Type (*1)		Time of Harvest	Gear Code	Net Weight
F/FR D/GG/DR/FL/OT		(mm/yy)	(*2)	(Kg)
*1= F=Fresh, FR=Frozen, RD=Round, GG=Gilled and Gutted, DR=Dressed, FL=Fillet				
OT=Other, describe the type of product				
*2= When the Gear Code is OT, describe the type of gear,				
7. EXPORTER CERTIFICATION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.				
Name:	Company name:	Address:	Signature:	Date: License Number (if applicable):



8. GOVERNMENT VALIDATION I validate that information listed above is complete, true, and correct to the best of my knowledge and belief.

Total weight of the shipment: Kg

Name & Title: Signature: Date: Government Seal

IMPORT SECTION:

IMPORTER CERTIFICATION I certify that the above information is complete, true, and correct to the best of my knowledge and belief.

Importer Certification (Intermediate Country / Entity / Fishing Entity)

Name: Address: Signature: Date: License # (if applicable):

Importer Certification (Intermediate Country / Entity / Fishing Entity)

Name: Address: Signature: Date: License # (if applicable):

Final Point of Import

City: State/Province: Country / Entity / Fishing Entity:

NOTE: If a language other than English or French is used in completing this form, please add an English translation of this document...

INSTRUCTIONS:

DOCUMENT NUMBER: Block for the issuing Country to designate a country coded Document Number.

- (1) **FLAG COUNTRY/ENTITIES/FISHING ENTITIES:** Fill in the name of the country of the vessel that harvested the bigeye tuna in the shipment and issued this Document. According to the Recommendation, only the flag state of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting state can issue this Document.
- (2) **DESCRIPTION OF VESSEL (if applicable):** Fill in the name and registration number, length overall(LOA) and IOTC Record number of the vessel that harvested the bigeye tuna in the shipment.
- (3) **TRAPS (if applicable):** Fill in the name of the trap that harvested the bigeye tuna in the shipment.
- (4) **POINT OF EXPORT:** Identify the City, State or Province, and Country from which the bigeye tuna was exported.
- (5) **AREA OF CATCH:** Check the area of catch. (If (c) or (d) checked, items 6 and 7 below do not need to be filled out.)
- (6) **DESCRIPTION OF FISH:** The exporter must provide, to the highest degree of accuracy, the following information.

NOTE: One row should describe one product type

- (1) **Product Type:** Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.
- (2) **Time of Harvest:** Fill in the time of harvest (in month and year) of the bigeye tuna in the shipment
- (3) **Gear Code:** Identify the gear type which was used to harvest the bigeye tuna using the list below. For OTHER TYPE, describe the type of gear, including farming.
- (4) Net product weight: in kilograms.
- (5) **EXPORTER CERTIFICATION:** The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer license number (if applicable).
- (6) **GOVERNMENT VALIDATION:** Fill in the name and full title of the official signing the Document. The official must be employed by a competent authority of the flag state government of the vessel that harvested the bigeye tuna appearing on the Document or other individual or institution authorised by the flag state. When appropriate, this requirement is waived according validation of the document by a government official, or if the vessel is operating under a charter arrangement, by a government official or other authorised individual or institution of the exporting state. The total weight of the shipment shall also be specified in this block.
- (7) **IMPORTER CERTIFICATION:** The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, license number (if applicable), and final point of import. This includes imports into intermediate countries. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.



GEAR CODE:

GEAR CODE	GEAR TYPE,
BB	BAITBOAT
GILL	GILLNET
HAND	HANDLINE
HARP	HARPOON
LL	LONGLINE
MWT	MID-WATER TRAWL
PS	PURSE SEINE
RR	ROD AND REEL
SPHL	SPORT HANDLINE
SPOR	SPORT FISHERIES UNCLASSIFIED
SURF	SURFACE FISHERIES UNCLASSIFIED
TL	TENDED LINE
TRAP	TRAP
TROL	TROLL
UNCL	UNSPECIFIED METHODS
OT	OTHER TYPE

RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag state).

ANNEX II

REQUIREMENTS CONCERNING THE IOTC BIGEYE TUNA RE-EXPORT CERTIFICATE

1. The sample form of the IOTC Bigeye Tuna Re-export Certificate shall be as in the Appendix.
2. Customs or other appropriate government officials will request and inspect all import documentation including the IOTC Bigeye Tuna Re-export Certificate for all bigeye tuna in the shipment. Those officials may also inspect the content of each shipment to verify the information on the document.
3. Only complete and valid documents will guarantee that shipments of bigeye tuna will be allowed to enter the territory of Contracting Parties.
4. A Contracting Party shall be free to validate IOTC Bigeye Tuna Re-export Certificates for bigeye tuna imported by that Contracting Party, to which IOTC Bigeye Tuna Statistical Documents or IOTC Bigeye Tuna Re-export Certificates are attached. IOTC Bigeye Tuna Re-export Certificates shall be validated by government organisations or by recognised institutions which are accredited by a Contracting Party's government to validate the IOTC Bigeye Tuna Statistical Document. A copy of the original Bigeye Tuna Statistical Document accompanying the imported bigeye tuna must be attached to an IOTC Bigeye Tuna Re-export Certificate. The copy of the original Bigeye Tuna Statistical Document so attached must be verified by that government organisation or by that recognised institution accredited by a government which validated the IOTC Bigeye Tuna Statistical Document. When re-exported bigeye tuna is again re-exported, all copies of documents, including a verified copy of a Statistical Document and Re-export Certificate which accompanied that bigeye tuna upon importation, must be attached to a new Re-export Certificate to be validated by a re-exporting Contracting Party. All copies of the Documents to be attached to that new Re-export Certificate must be also be verified by a government organisation or a recognised institution accredited by a government which validated the IOTC Bigeye Tuna Statistical Document.
5. Shipments of bigeye tuna that are accompanied by improperly documented Bigeye Tuna Re-export Certificate (i.e., improperly documented means that the Bigeye Tuna Re-export Certificate is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bigeye tuna, that are contrary to IOTC conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Contracting Party or subject to administrative or other sanction.
6. IOTC Contracting Parties that validate Re-export Certificates in accordance with the procedure set forth in paragraph 4 shall require from the re-exporting bigeye tuna dealer necessary documents (e.g. written sales contracts) which are to certify that the bigeye tuna to be re-exported corresponds to the imported bigeye tuna. Contracting Parties which validate Re-export Certificates shall provide flag states and importing states with evidence of this correspondence upon their request.
7. The import of fish parts other than the meat, i.e., head, eyes, roe, guts, tails may be allowed without the document.



APPENDIX

DOCUMENT NUMBER		IOTC BIGEYE TUNA RE-EXPORT CERTIFICATE		
RE-EXPORT SECTION:				
1. RE-EXPORTING COUNTRY / ENTITY / FISHING ENTITY				
2. POINT OF RE-EXPORT				
3. DESCRIPTION OF IMPORTED FISH				
Product Type(*) F/FR RD/GG/DR/FL/OT		Net Weight (Kg)	Flag country/ Entity/Fishing Entity	Date of Import
4. DESCRIPTION OF FISH FOR RE-EXPORT				
Product Type(*) F/FR RD/GG/DR/FL/OT		Net Weight (Kg)		
*F=FRESH, FR=Frozen, RD=Round, GG=Gilled and Guttled, DR=Dressed, FL=Fillet OT=Other(Describe the type of product)				
5. RE-EXPORTER CERTIFICATION: <u>I certify that the above information is complete, true and correct to the best of my knowledge and belief.</u>				
Name/Company Name		Address	Signature	Date License Number (if applicable)
6. GOVERNMENT VALIDATION: <u>I validate that the above information is complete, true and correct to the best of my knowledge and belief.</u>				
Name & Title		Signature	Date	Government Seal



IMPORT SECTION:

7. IMPORTER CERTIFICATION: I certify that the above information is complete, true and correct to the best of my knowledge and belief.

Importer Certification (Intermediate Country / Entity / Fishing Entity)

Name: Address: Signature: Date: License # (if applicable)

Importer Certification (Intermediate Country / Entity / Fishing Entity)

Name: Address: Signature: Date: License # (if applicable)

Importer Certification (Intermediate Country / Entity / Fishing Entity)

Name: Address: Signature: Date: License # (if applicable)

Final Point of Import

City: State/Province: Country / Entity / Fishing Entity:

NOTE: If a language other than English or French is used in completing this form, please add the English translation of this document.

INSTRUCTIONS

DOCUMENT NUMBER: Block for the issuing Country/Entity/Fishing Entity to designate a Country/Entity/Fishing Entity coded document number.

(1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY

Fill in the name of the Country/Entity/Fishing Entity which re-exports the bigeye tuna in the shipment and issued this Certificate. According to the Recommendation, only the re-exporting Country/Entity/Fishing Entity can issue this Certificate.

(2) POINT OF RE-EXPORT

Identify the City/State Province and Country/Entity/Fishing Entity from which the bigeye tuna was re-exported.

(3) DESCRIPTION OF IMPORTED FISH

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms. (3) Flag Country/Entity/Fishing Entity: the name of the Country/Entity/Fishing Entity of the vessel that harvested the bigeye tuna in the shipment. (4) Date of import: Imported date.

(4) DESCRIPTION OF FISH FOR RE-EXPORT

The exporter must provide, to the highest degree of accuracy, the following information: NOTE: One row should describe one product type. (1) Product type: Identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kilograms.

(5) RE-EXPORTER CERTIFICATION

The person or company re-exporting the bigeye tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's license number (if applicable).

(6) GOVERNMENT VALIDATION

Fill in the name and full title of the official signing the Certificate. The official must be employed by a competent government authority of the re-exporting Country/Entity/Fishing Entity appearing on the Certificate, or other individual or institution authorised to validate such certificates by the competent government authority.

(7) IMPORTER CERTIFICATION

The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, license number (if applicable) and re-exported final point of import. This includes imports into intermediate Countries/Entities/Fishing Entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

RETURN A COPY OF THE COMPLETED CERTIFICATE TO: (the name of the office of the competent authority of the re-exporting Country/Entity/Fishing Entity).



ANNEX III

REPORT OF THE IOTC BIGEYE TUNA STATISTICAL DOCUMENT

Period _____ to _____, _____ IMPORT COUNTRY/ENTITY/FISHING ENTITY _____
 Month Month Year

Flag Country/Entity/ Fishing Entity	Area Code	Gear Code	Point of Export	Product Type		Product Wt.(Kg)
				F/FR	RD/GG/DR/FL/OT	

- Gear Code Gear Type
- BB Baitboat
 - GILL Gillnet
 - HAND Handline
 - HARP Harpoon
 - LL Longline
 - MWT Mid-water trawl
 - PS Purse seine
 - RR Rod & reel
 - SPHL Sport Handline
 - SPOR Sport fisheries unclassified
 - SURF Surface fisheries unclassified
 - TL Tended line
 - TRAP Trap
 - TROL Troll
 - UNCL Unclassified methods
 - OTH Other type (Indicate the type of gear):

- Product type
- F Fresh
 - FR Frozen
 - RD Round AT Atlantic
 - GG Gilled & gutted
 - DR Dressed
 - FL Fillet
 - OT Other form, describe the type of products in the shipment

- Area Code
- ID Indian Ocean
 - PA Pacific Ocean

REPORT OF THE IOTC BIGEYE TUNA RE-EXPORT CERTIFICATE

Period _____ to _____, _____ IMPORT COUNTRY/ENTITY/FISHING ENTITY _____

Month Month Year

Flag Country/Entity/Fishing Entity	Re-export Country/Entity/Fishing Entity	Point of Re-export	Product Type		Product Wt.(Kg)
			F/FR	RD/GG/DR/FL/OT	

Product type

- | | | | |
|----|-----------------------------------------------------------|-----------|--------------|
| F | Fresh | Area Code | |
| FR | Frozen | ID | Indian Ocean |
| RD | Round | PA | Pacific |
| GG | Gilled & gutted | AT | Atlantic |
| DR | Dressed | | |
| FL | Fillet | | |
| OT | Other form, describe the type of products in the shipment | | |

ANNEX IV

INFORMATION ON VALIDATION OF IOTC STATISTICAL DOCUMENTS

1. Flag
2. Government/Authority Organisation(s) accredited to validate Statistical Documents

<i>Organisation Name</i>	<i>Organisation Address</i>	<i>Sample Seal</i>

NOTE: For each organisation, attach a list with the names, titles and addresses of the individuals authorised to validate Documents.

3. Other institutions accredited by the government/authority to validate Statistical Documents

<i>Organisation Name</i>	<i>Organisation Address</i>	<i>Sample Seal</i>

NOTE: For each organisation, attach a list with the names, titles and addresses of the individuals authorised to validate Documents.

INSTRUCTIONS:

Contracting Parties, Non-Contracting Parties, Entities, Fishing Entities having vessels that harvest species whose international trade must be accompanied by Statistical Documents are requested to submit the information on this sheet to the Executive Secretary of IOTC*, and to ensure that any changes to the above are also transmitted to the IOTC Executive Secretary on a timely fashion.

*IOTC; P.O. BOX 1011, Le Chantier Mall, Victoria, Mahé, Seychelles